

|               |             |       |                |                     |
|---------------|-------------|-------|----------------|---------------------|
| SERIAL NUMBER | FILING DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
| 09/477,977    | 01/05/00    | 600   | 3739           | 825.001US2          |

APPLICANT

JOHN H. BURTON, MINNETONKA, MN; TIMOTHY C. COOK, WAYZATA, MN.

**\*\*CONTINUING DOMESTIC DATA\*\*\*\*\***

VERIFIED THIS APPLN IS A CON OF 08/873,444 06/12/97

RK

**\*\*371 (NAT'L STAGE) DATA\*\*\*\*\***

VERIFIED

None, RK

**\*\*FOREIGN APPLICATIONS\*\*\*\*\***

VERIFIED

None, RK

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/14/00 \*\* SMALL ENTITY \*\*

|   |   |                        |                     |                    |                         |
|---|---|------------------------|---------------------|--------------------|-------------------------|
| Foreign Priority claimed<br>35 USC 119 (a-d) conditions met           | <input type="checkbox"/> yes <input type="checkbox"/> no<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | STATE OR COUNTRY<br>MN | SHEETS DRAWING<br>4 | TOTAL CLAIMS<br>18 | INDEPENDENT CLAIMS<br>4 |
| Verified and Acknowledged<br>Examiner's Initials _____ Initials _____ |   |                        |                     |                    |                         |

ADDRESS

~~SEE CUSTOMER NUMBER: 021186~~  
Schwegman, Lundberg, Weissner + Kluh, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

TITLE

IMPLANTABLE DEVICE AND METHOD FOR ADJUSTABLY RESTRICTING A BODY LUMEN

|                                  |   |   |
|----------------------------------|---|---|
| FILING FEE RECEIVED<br><br>\$384 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>NO. _____ for the following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|----------------------------------|---|---|



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Bib Data Sheet

CONFIRMATION NO. 1025

|                             |                                       |              |                        |                                      |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|
| SERIAL NUMBER<br>09/477,977 | FILING DATE<br>01/05/2000<br><br>RULE | CLASS<br>600 | GROUP ART UNIT<br>3739 | ATTORNEY<br>DOCKET NO.<br>825.001US2 |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|

## APPLICANTS

JOHN H. BURTON, MINNETONKA, MN;

TIMOTHY C. COOK, WAYZATA, MN;

## \*\* CONTINUING DATA \*\*\*\*\*

This application is a CON of 08/873,444 06/12/1997 PAT 6,045,498

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 02/14/2000

|   |                           |                        |                       |                            |
|---|---------------------------|------------------------|-----------------------|----------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no  | STATE OR<br>COUNTRY<br>MN | SHEETS<br>DRAWING<br>4 | TOTAL<br>CLAIMS<br>18 | INDEPENDENT<br>CLAIMS<br>4 |
| 35 USC 119 (a-d) conditions<br>met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>Allowance |                           |                        |                       |                            |
| Verified and<br>Acknowledged  | Examiner's Signature      | Initials               |                       |                            |

## ADDRESS

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## TITLE

IMPLANTABLE DEVICE AND METHOD FOR ADJUSTABLY RESTRICTING A BODY LUMEN

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|-----------------|---|---|
| FILING FEE      | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                                 |
| RECEIVED<br>603 |   | <input type="checkbox"/> 1.16 Fees ( Filing )                     |
|                 |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of<br>time ) |
|                 |   | <input type="checkbox"/> 1.18 Fees ( Issue )                      |